

The University of North Carolina at Greensboro
Board of Trustees
Compliance, Audit, Risk Management and Legal Affairs Committee
September 12, 2017
9:30 a.m.
Chancellor's Boardroom

AGENDA

Committee Members

Ward Russell, Chair
Mona Edwards
Brad Hayes
Dean Priddy
Susan Safran

Jerry D. Blakemore, General Counsel, Liaison
Julia Jackson-Newsom, Associate Vice Chancellor for Strategy and Policy, Liaison
Charles Maimone, Vice Chancellor for Business Affairs, Liaison
Don Skeen, Director of Internal Audit, Liaison

Approval of Minutes of the May 9, 2017, Meeting

Discussion Items

- CARL-1 Proposed 2017-2018 CARL Committee Work Plan (Liaisons)
CARL-1.1 Memo on Proposed CARL Committee Work Plan for 2017-18
CARL-1.2 Proposed CARL Committee Work Plan for 2017-18
- CARL-2 Audit Review for Board of Trustees (Skeen)
CARL-2.1 Summary of Internal Audits Performed
CARL-2.2 Summary of External Audits Performed
- Supplementary Documents provided to UNC General Administration*
CARL-2.3 Internal Audit Plan Summary – Fiscal Year 2017
CARL-2.4 Internal Audit Plan Summary – Fiscal Year 2016
CARL-2.5 Board of Trustees Audit Committee Certification Letter
CARL-2.6 Director of Internal Audit Certification Letter
- CARL-3 Risk Update (Maimone)
CARL-3.1 Introduction of New Director of Institutional Risk Management Mark McKinney

CARL-3.2 Institutional Risk Management Status Update

CARL-4 Compliance Update (Jackson-Newsom)
CARL-4.1 Update on University Compliance Initiatives

Action Items

CARL-5 Adoption of CARL Committee Work Plan for 2017-18 (Liaisons)
CARL-5.1 CARL Committee Work Plan for 2017-18

Information Items

CARL-6 Legal Update – BOT Ethics Compliance

Adjourn