

**UNC Greensboro**  
**Internal Audit Plan Summary**  
**Year Ending June 30, 2018**

September 25, 2018

Specific Audits	Included in the Audit Plan	Audit Status	Reported Audit Findings	Status of Finding Resolution
<b>Audits/Reviews of Internal Controls</b>				
<u>Auxiliary Enterprises</u>	FY2018	Postponed	N/A	
<u>Gift Processing (securities)</u>	FY2018	Complete	<i>Minor finding: No formal documentation of reconciliation of securities gift reports to monthly brokerage statements.</i>	<i>Resolved - Follow-up Complete</i>
<u>Self-Assessment of Internal Controls</u>	FY2018	Complete	N/A	
<u>Student Health Services (pharmacy operations)</u>	FY2018	In-Process	N/A	
<u>Weatherspoon Arts Foundation (inventory observation)</u>	FY2018	Complete	None	
<b>Compliance Audits</b>				
<u>Departmental Deposits</u>	FY2017	Complete	<i>Minor finding: Departmental deposit not made by next business day. No findings regarding the adequacy of documentation.</i>	<i>Resolved - Follow-up Complete</i>
<u>Travel Expenditures</u>	FY2017	Complete	<i>Minor findings: Four travel authorization/expense reports not submitted timely. No findings regarding documentation.</i>	<i>Resolved - Follow-up Complete</i>
<u>Financial Aid (reporting)</u>	FY2018	Cancelled	N/A (included in State Auditor's <b>Single Audit Report</b> - no findings)	
<u>Intercollegiate Athletics Program (assistance with Agreed-Upon Procedures review)</u>	FY2018	Complete	None	
<u>Misuse or Theft of State Property (reporting)</u>	FY2018	Complete	None	
<u>Purchasing Cards</u>	FY2018	Complete	None	
<u>Travel (students)</u>	FY2018	In-Process	N/A	
<b>Audit Findings Follow-up</b>				
<u>Follow-up Resolution of Audit Findings (of external auditors)</u>	FY2018	N/A	No reportable findings	
<b>Special Assignments</b>				
<u>Risk Assessment (for development of internal audit plans)</u>	FY2018	Complete	N/A	
<b>Other</b>				