

**UNC Greensboro
Board of Trustees
Compliance, Audit, Risk Management and Legal Affairs Committee
September 25, 2018**

Action Item

CARL – 4 Proposal to adopt the Proposed 2018-19 CARL Committee Work Plan

Background Information

This year represents the second year of the Compliance, Audit, Risk Management and Legal Affairs Committee (CARL) and as such the second Proposed CARL Work Plan. The CARL Committee Liaisons, in collaboration with the Chancellor's Office, have developed a proposed Work Plan for the Committee's review and approval. Attached is the "UNCG Board of Trustees CARL Committee Work Plan 2018 – 2019," which outlines the proposed topics for each of the BOT meetings. The proposed Work Plan is not intended to prohibit action on other items that the Chancellor or Committee members wish for consideration, but to establish priorities and plans for the academic year.

Recommended Action

The Office of General Counsel in collaboration with the Chancellor's Office and CARL Committee Liaisons recommends that the CARL Committee adopt the CARL Committee Work Plan for 2018-19 as proposed.

Attachment:

CARL Committee Work Plan for 2018-19



Jerry D. Blakemore
General Counsel



Julia Jackson-Newsom
Associate Vice Chancellor for Strategy
and Policy



Charles Maimone
Vice Chancellor for Business Affairs



Donald C. Skeen
Director of Internal Audit

September	November	April
<p>Discussion Items:</p>	<p>Discussion Items:</p>	<p>Discussion Items:</p>
<ul style="list-style-type: none"> ● CARL-2018-19 Committee Work Plan ● CARL-Audit Review ● CARL-Information Technology Security Plan 	<ul style="list-style-type: none"> ● CARL-Audit Review ● CARL-Institutional Risk Management Annual Briefing ● CARL-Compliance Review–OGC and Athletics (joint presentation to Athletics Committee) ● CARL-Public Records Presentation ● CARL-Director of Compliance 	<ul style="list-style-type: none"> ● CARL-Audit Review ● CARL-Compliance Update & Work Plan
<p>Action Items:</p>	<p>Action Items:</p>	<p>Action Items:</p>
<ul style="list-style-type: none"> ● CARL-Adoption of 2018-19 CARL Committee Work Plan 	<ul style="list-style-type: none"> ● CARL-Chair Meeting with State Auditor 	<ul style="list-style-type: none"> ● CARL-Internal Audit Plans for 2019-2020
<p>Information Items:</p> <ul style="list-style-type: none"> ☐ CARL-Update on Compliance Director Search 	<p>Information Items:</p>	<p>Information Items:</p>

Closed Session Items:	Closed Session Items:	Closed Session Items: