

The University of North Carolina at Greensboro
Internal Audit Plan Summary
Year Ending June 30, 2019

September 24, 2019

Specific Audits	Included in the Audit Plan	Audit Status	Reported Audit Findings	Status of Finding Resolution
Audits/Reviews of Internal Controls				
<u>Auxiliary Enterprises (parking permits)</u>	FY2018	Complete	None	
<u>Student Health Services (pharmacy operations)</u>	FY2018	Complete	None	
<u>Disaster Recovery Plans (business functions)</u>	FY2019	Complete	No findings re: Business Affairs departmental plans. Recommended improvement in coordination of plans with Emergency Management and Information Technology Services.	EM responded with plan of action to unify process to meet needs of EM and ITS.
<u>Self-Assessment of Internal Controls</u>	FY2019	Complete	N/A	
<u>User Access Controls</u>	FY2019	In-Process	N/A	
<u>Weatherspoon Arts Foundation (inventory observation)</u>	FY2019	Complete	None	
Compliance Audits				
<u>Conflicts of Interest (research)</u>	FY2019	Complete	None	
<u>Contracts and Grants</u>	FY2019	Postponed	N/A	
<u>Intercollegiate Athletics Program (assistance with Agreed-Upon Procedures review)</u>	FY2019	Complete	None	
<u>Misuse or Theft of State Property (reporting)</u>	FY2019	Complete	None	
<u>Purchasing</u>	FY2019	In-Process	N/A	
<u>Student Accounts</u>	FY2019	Complete	None	
Audit Findings Follow-up				
<u>Follow-up Resolution of Audit Findings (of external auditors)</u>	FY2019	N/A	No reportable findings	
Special Assignments				
<u>Risk Assessment (for development of internal audit plans)</u>	FY2019	Complete	N/A	
Other				