



Compliance, Audit, Risk Management and Legal Affairs Committee
September 24, 2019
9:45 a.m.
Chancellor's Boardroom
AGENDA

Committee Members

Mona Edwards, Chair
Betsy S. Oakley
Brad Hayes
Dean Priddy
Linda Sloan

Jerry Blakemore, General Counsel, Liaison
Julia Jackson-Newsom, Associate Vice Chancellor for Strategy and Policy, Liaison
Greg Hodges, Business Affairs, Liaison
Don Skeen, Director of Internal Audit, Liaison

Approval of Minutes of the April 9, 2019 Meeting

Discussion Items

CARL-1 Proposed 2019-2020 CARL Committee Work Plan (Liaisons)

CARL-1.1 Memorandum and Work Plan

CARL-2 Audit Review for Board of Trustees (Skeen)

CARL-2.1 Summary of Internal Audits Performed

CARL-2.2 Summary of External Audits Performed

Supplementary Documents provided to The System Office

CARL-2.3 Internal Audit Plan Summary – Fiscal Year 2020

CARL-2.4 Internal Audit Plan Summary – Fiscal Year 2019

CARL-2.5 Board of Trustees CARL Committee Certification Letter
CARL-2.6 Director of Internal Audit Certification Letter

CARL-3 Institutional Risk Management Update (McKinney)

CARL-4 Annual Compliance Update and Work Plan (Meeks)

CARL-4.1 Compliance and Integrity Program Presentation

Action Items

CARL-5 Adoption of the 2019-2020 CARL Committee Work Plan (Liaisons)