

The University of North Carolina at Greensboro
 Internal Audit Plan Summary
 Year Ending June 30, 2020

September 22, 2020

Specific Audits	Included in the Audit Plan	Audit Status	Reported Audit Findings	Status of Finding Resolution
Audits/Reviews of Internal Controls				
<u>Disaster Recovery Plans (business functions)</u>	FY2019	Complete	No findings re: Business Affairs departmental plans. Recommended improvement in coordination of plans with Emergency Management and Information Technology Services.	EM responded with plan of action to unify process to meet needs of EM and ITS.
<u>User Access Controls</u>	FY2019	Complete	None	
<u>Departmental Reconciliations</u>	FY2020	In-Process	N/A	
<u>Self-Assessment of Internal Controls</u>	FY2020	Complete	N/A	
<u>Weatherspoon Arts Foundation (inventory observation)</u>	FY2020	Complete	None	
Compliance Audits				
<u>Child Care Program (regulatory compliance)</u>	FY2020	In-Process	N/A	
<u>Clery Act (compliance)</u>	FY2020	Complete	None	
<u>Intercollegiate Athletics Program (assistance with Agreed-Upon Procedures review)</u>	FY2020	Complete	None	
<u>Misuse or Theft of State Property (reporting)</u>	FY2020	Complete	None	
<u>Purchasing (NC Purchase & Contract compliance)</u>	FY2020	Complete	None	
<u>Travel</u>	FY2020	Postponed	N/A	
Audit Findings Follow-up				
<u>Follow-up Resolution of Audit Findings (of external auditors)</u>	FY2020	N/A	No reportable findings	
Special Assignments				
<u>Risk Assessment (for development of internal audit plans)</u>	FY2020	Complete	N/A	
Other				