



Compliance, Audit, Risk Management and Legal Affairs Committee

September 22, 2020

9:45 a.m.

[Zoom Link](#)

AGENDA

Committee Members

Mona Edwards, Chair
Betsy Oakley
Brad Hayes
Dean Priddy
Linda Sloan

Jerry Blakemore, General Counsel; Liaison
Julia Jackson-Newsom, Associate Vice Chancellor for Strategy and Policy; Liaison
Bob Shea, Vice Chancellor for Finance and Administration; Liaison
Don Skeen, Director of Internal Audit; Liaison

Approval of Minutes of the November 19, 2019 Meeting

Discussion Items

- CARL-1 Proposed 2020-2021 CARL Committee Work Plan (Liaisons)
- 1.1 Memorandum and Work Plan
- CARL-2 Audit Review for Board of Trustees (Skeen)
- 2.1 Summary of Internal Audits Performed
 - 2.2 Summary of External Audits Performed
 - 2.2a Independent Auditor's Report 2019
 - 2.2b Independent Auditor's Report – Internal Control Over Financial Reporting and on Compliance and Other Matters

- CARL-3 COVID-19
- 3.1 Briefing (Jackson-Newsom)
 - 3.2 IT Instructional and Infrastructure Impact (Heath, Shea)
 - 3.3 COVID-19 Financial Risk Assessment and Use of CARES Act Funds (Shea, McEntire)

Action Item

- CARL-4 Adoption of the 2020-2021 CARL Committee Work Plan (Liaisons)

Information Items

- CARL -5 Supplementary Audit Documents Provided to The System Office (Skeen)
- 5.1 Internal Audit Plan Summary – Fiscal Year 2021
 - 5.2 Internal Audit Plan Summary – Fiscal Year 2020
 - 5.3 Board of Trustees CARL Committee Certification Letter
 - 5.4 Director of Internal Audit Certification Letter

Adjourn