



**Compliance, Audit, Risk Management and Legal Affairs Committee**  
**September 28, 2021**  
**10:15 a.m.**  
**AGENDA**

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**Committee Members**

Mona Edwards, Chair  
Anita Hughes Bachmann  
Dr. Ernest J. Grant  
Betsy S. Oakley  
Dean Priddy  
Linda Sloan

Jerry Blakemore, General Counsel, Liaison  
Bob Shea, Vice Chancellor for Finance and Administration, Liaison  
Don Skeen, Director of Internal Audit, Liaison

**Approval of Minutes of the March 16, 2021 Meeting**

**Discussion Items**

- CARL-1 Proposed 2021-2022 CARL Committee Work Plan (Liaisons)
  - 1.1 Memorandum and Work Plan
- CARL-2 Audit Review for Board of Trustees (Skeen)
  - 2.1 Summary of Internal Audits Performed
- CARL-3 External Quality Assessment of Internal Audit Function (Blakemore, Skeen)
  - 3.1 Summary Responses to Quality Assurance Review
- CARL-4 COVID-19 Update (Storrs, Akens, Smith)
- CARL-5 Crisis Policy Group re: Crisis Incidents (Shea, Smith)

- CARL-6      Cybersecurity Update (Heath, Forrest, Blakemore)  
6.1      Introduction to Casey Forrest, Chief Information Security Officer

**Action Items**

- CARL-7      Adoption of the 2021-2022 CARL Committee Work Plan (Liaisons)

**Information Items**

- CARL-8      Supplementary Audit Documents provided to the System Office (Skeen)  
8.1      Internal Audit Plan Summary – Fiscal Year 2022  
8.2      Internal Audit Plan Summary – Fiscal Year 2021  
8.3      Board of Trustees CARL Committee Certification Letter  
8.4      Director of Internal Audit Certification Letter  
8.5      Internal Audit Charter

**Adjourn**