

The University of North Carolina at Greensboro
Internal Audit Plan Summary
Year Ending June 30, 2021

Specific Audits	Included in the Audit Plan	Audit Status	Reported Observations	Status of Finding Resolution
Audits/Reviews of Internal Controls				
<u>Self-Assessment of Internal Controls</u>	FY2021	Complete	N/A	
<u>Weatherspoon Arts Foundation (inventory observation)</u>	FY2021	Complete	None	
Compliance Audits				
<u>Child Care Program (regulatory compliance)</u>	<i>FY2020</i>	<i>Complete</i>	None	
<u>Admissions (Controls & Compliance)</u>	FY2021	Postponed		
<u>Contracts and Grants / Financial Aid</u>	FY2021	Postponed		
<u>Employment Eligibility (I-9 Compliance)</u>	FY2021	In-Process		
<u>Intercollegiate Athletics Program (assistance with Agreed-Upon Procedures review)</u>	FY2021	Complete	None	
<u>IT Security Training</u>	FY2021	In-Process		
<u>Misuse or Theft of State Property (reporting)</u>	FY2020	Complete	None	
Audit Findings Follow-up				
<u>Follow-up Resolution of Audit Findings (of external auditors)</u>	FY2021	N/A	No reportable findings	
Special Assignments				
<u>Risk Assessment (for development of internal audit plans)</u>	FY2021	Complete	N/A	
Other				
<u>Quality Assurance Review</u>	FY2021	Complete	Recommendations: (1) Enhance internal audit charter (2) Strengthen quality assurance improvement program (3) Enhance risk-based audit plan (4) Improve policy manual (5) Improve audit planning documentation	Substantial Progress