



Compliance, Audit, Risk Management and Legal Affairs (CARL) Committee
September 27, 2022
10:15 a.m.

Information Item

CARL- 6 Office of Institutional Integrity and General Counsel Transition Update

Background Information

The attached document provides highlights of the transition of Internal Audit, Title IX, and Policy and Compliance to the Office of Institutional Integrity and General Counsel (OIIGC).

Attachment (see below)

6.1 Highlights of OIIGC Transition



UNC
GREENSBORO

Office of Institutional
Integrity and General Counsel

Highlights of Office of Institutional Integrity and General Counsel Transition

UNC Greensboro Board of Trustees

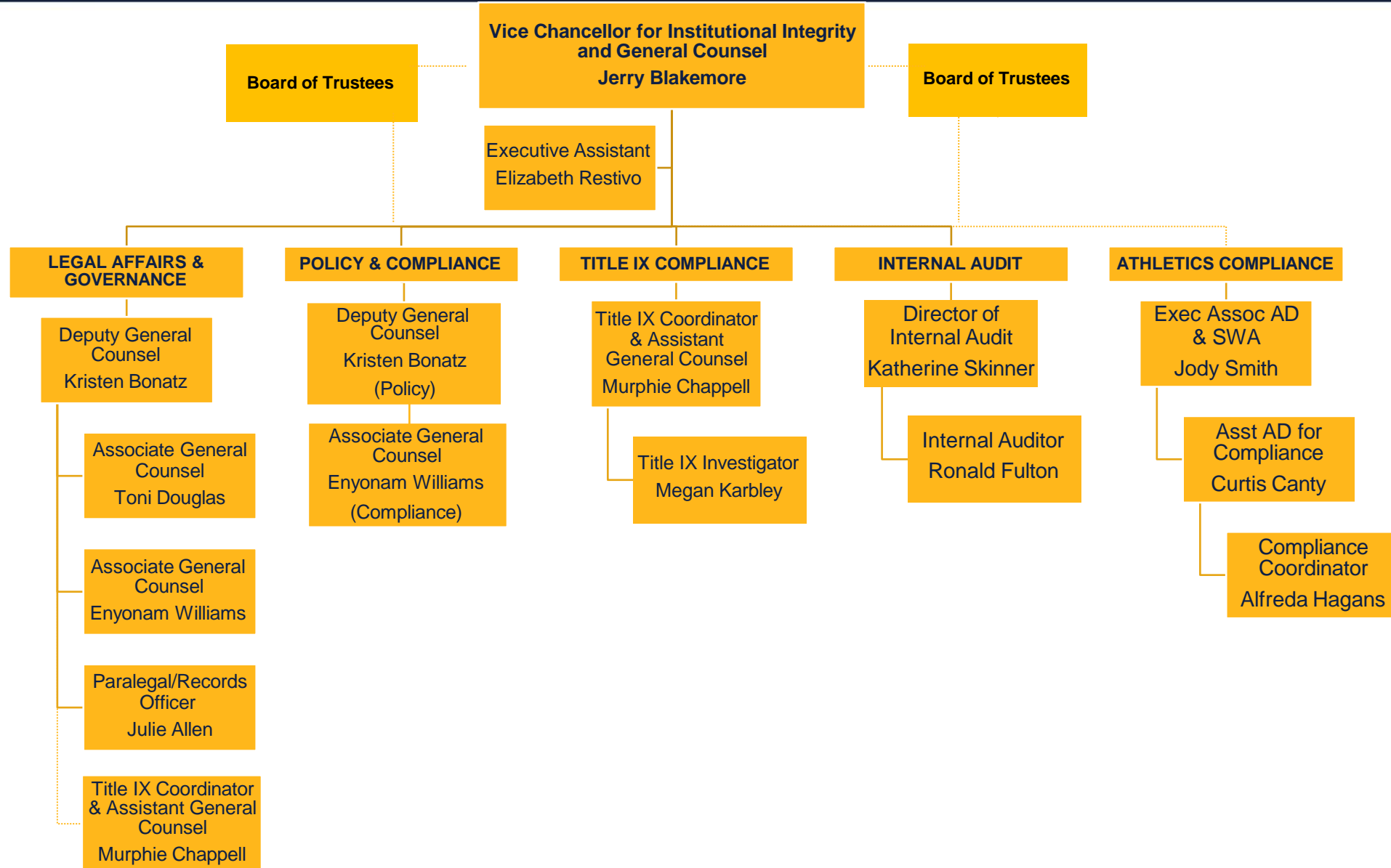
Compliance, Audit, Risk Management, and Legal Affairs (CARL) Committee

September 27, 2022



Find your way here

Office of Institutional Integrity and General Counsel





Find your way here

Status of OIIGC Transition



Katherine Skinner
Director of Internal Audit



Ron Fulton
Internal Auditor



Murphie Chappell
Title IX Coordinator
Assistant General Counsel



Kristen Bonatz
Deputy General Counsel
(Chair, Policy Action Group)



Enyonam Williams
Associate General Counsel
(University Compliance)



UNC
GREENSBORO
Office of Institutional
Integrity and General Counsel

Internal Audit Highlights



- Added “consulting engagements” to allow Director of Internal Audit to act in more proactive fashion.
- Enhanced collaboration with members of Chancellor’s Council and senior management.
- Submitted timely responses to the State Auditor’s Office for the Statewide Federal Compliance audit and the Information Systems audit.
- Updated Internal Audit Charter.
- Completed response to External Quality Assurance Review.
- Completed Weatherspoon Arts Foundation audit.





Find your way here

Title IX Highlights

- Led internal working group's review of Notice of Proposed Rulemaking (NPRM) and participated in American Council of Education's review of NPRM and response.
- Prepared proposed Title IX University policy revisions related to pregnant and parenting students and presented to Chancellor and Chancellor's Council.
- Collaborated on successful transition of Campus Violence Response Center (CVRC) to Student Affairs.
- Currently involved in numerous Title IX investigations and training efforts.
- Hired and onboarded new Title IX Investigator.



**UNC
GREENSBORO**

Office of Institutional
Integrity and General Counsel



Find your way here

Policy and Compliance Highlights

Policy

- Inventoried all 102 adopted University policies, updated history, and identified policies that need revision.
- Converted 76 polices to new website format.
- Streamlined policy development process.

Compliance

- Recommitted to using University compliance calendar and finalizing necessary revisions.
- Updated University Compliance Matrix to identify compliance partners.
- Reinstated compliance liaisons committee.
- Identified compliance challenges to target efforts.
- Accepted responsibility for biannual federal reporting of foreign gifts and contracts.



**UNC
GREENSBORO**

Office of Institutional
Integrity and General Counsel



Conclusion

- The transition of the Internal Audit, Title IX, and Policy and Compliance functions continues on schedule and consistent with executive management's desires to:
 - strengthen the collaboration between and among divisions,
 - better align the ethical functions, and
 - streamline processes where appropriate.
- OIGC staff willingly accepted additional responsibilities and we appreciate the cooperation from other divisions of the University.
- Continued OIGC focus on primary mission of:
 - providing timely legal and ethical advice to our campus clients, and
 - fulfilling our professional obligations for community service and engagement.

