



**Compliance, Audit, Risk Management and Legal Affairs (CARL) Committee
September 27, 2022
10:15 a.m.**

AGENDA

CARL Committee Members

Mona Edwards, Chair
Anita Bachmann
Margaret S. Benjamin
Dr. Ernest J. Grant
Betsy Oakley
Dean Priddy

Jerry Blakemore, Vice Chancellor for Institutional Integrity and General Counsel, Liaison
Bob Shea, Vice Chancellor for Finance and Administration, Liaison
Katherine Skinner, Director of Internal Audit, Liaison

Call to Order

Approval of Minutes of the March 22, 2022 Meeting

Action Items

- CARL – 1 Consent Agenda
- 1.1 Proposed 2022-2023 CARL Committee Work Plan
 - 1.2 Proposed 2022-2023 Internal Audit Work Plan
 - 1.3 Proposed Revisions to CARL Committee Charter
 - 1.3(a) Current CARL Charter
 - 1.3(b) Proposed Revised CARL Charter (Clean)
 - 1.3(c) Proposed Revised CARL Charter (Redlined)
- CARL – 2 Proposed Revisions to Internal Audit Charter (Skinner, Blakemore)
- 2.1 Current Internal Audit Charter
 - 2.2 Proposed Revised Internal Audit Charter

Discussion Items

- CARL – 3 Audit Review for Board of Trustees (Skinner)
 - 3.1 Summary of Internal Audits Performed
 - 3.2 Summary of External Audits Performed

- CARL – 4 Policy and Compliance Update (Bonatz, Williams)
 - 4.1 Policy and Compliance Update

Information Items

- CARL – 5 Supplementary Audit Documents provided to the System Office (Skinner)
 - 5.1 Internal Audit Plan Summary – Fiscal Year 2023
 - 5.2 Internal Audit Plan Summary – Fiscal Year 2022

- CARL – 6 Office of Institutional Integrity and General Counsel (OIIGC) Transition Update (Blakemore)
 - 6.1 Highlights of OIIGC Transition

Adjourn