Compliance, Audit, Risk Management and Legal Affairs (CARL) Committee
November 29, 2022
10:15 a.m. – 11:30 a.m.
AGENDA

CARL Committee Members

Mona Edwards, Chair
Anita Bachmann
Margaret S. Benjamin
Dr. Ernest J. Grant
Betsy Oakley
Dean Priddy

Jerry Blakemore, Vice Chancellor for Institutional Integrity and General Counsel; Liaison
Bob Shea, Vice Chancellor for Finance and Administration; Liaison
Katherine Skinner, Director of Internal Audit; Liaison

Call to Order

Action Items

CARL-1 Consent Agenda

- 1.1 Minutes of the September 27, 2022 Meeting
- 1.2 Revised 2022-2023 Internal Audit Work Plan

CARL-2 Proposed Resolution Allowing Alcohol Sales at Athletic Facilities (Blakemore, Milman)

- 2.1 Memorandum and Proposed Resolution Allowing Alcohol Sales at Athletic Facilities

CARL-3 Proposed Ethical Screen Memoranda (Skinner, Williams, Blakemore)

- 3.1 Memorandum regarding Board Oversight for Internal Audit and Compliance Functions and Ethical Screens

Discussion Items

CARL-4 Enterprise Risk Management Update (Shea, Z. Smith)

4.1 Enterprise Risk Management Presentation

CARL-5 Cybersecurity Update (Heath, Forrest)

5.1 Cybersecurity Briefing

Information Items

CARL-6 Overview of Trustee Fiduciary Responsibilities and Risks (Blakemore, Bonatz)

6.1 Trustee Fiduciary Responsibilities and Risks

CARL-7 Internal Audit Quality Assurance and Improvement Program Update (Skinner)

7.1 Quality Assessment Review Status Update

Adjourn