



Compliance, Audit, Risk Management and Legal Affairs (CARL) Committee

April 1, 2025

10:15 a.m.

DRAFT MINUTES

COMMITTEE MEMBERS PRESENT: Margaret Benjamin, George Hoyle, Dale Phipps, Dean Priddy

COMMITTEE MEMBERS ABSENT: Anita Bachmann (Committee Chair)

OTHERS PRESENT: Chancellor Franklin Gilliam; Jerry Blakemore, Vice Chancellor for Institutional Integrity and General Counsel; Kristen Bonatz, Deputy General Counsel; Enyonam Williams, Associate General Counsel; Casey Forrest, Associate Vice Chancellor for Enterprise Infrastructure and Chief Technology Officer; Donna Heath, Vice Chancellor for Information Technology Services; Damal Raval, Chief Information Security Officer; Bob Shea, Vice Chancellor for Finance and Administration; Katherine Skinner, Director of Internal Audit; Christopher Jasso, Chief of Police; Waiyi Tse, Chief of Staff; Kelly Harris, Associate Chief of Staff and Assistant Secretary to the Board of Trustees; and other members of the administration and staff.

PROCEEDINGS:

Acting Chair Dale Phipps called the meeting to order at 10:15 a.m. He reminded the Committee members of their duty under the State Government Ethics Act to avoid conflicts of interest and advised that any conflict of interest or appearance thereof with respect to any matter before the Board of Trustees or the Committee needed to be raised at that time. None were identified.

Quorum was confirmed through roll call.

Approval of the Minutes of the February 4, 2025 Meeting

Acting Chair Phipps called for a motion to approve the minutes of the February 4, 2025, meeting as presented. Trustee Hoyle made the motion, which was seconded by Trustee Priddy. The motion carried unanimously.

Discussion Items:

CARL-1 Internal Audit Report

Director of Internal Audit Katherine Skinner provided an update on internal audit activities by the UNCG Internal Audit Department and the UNC System Internal Audit Department for the period of July 2024 through March 2025. She noted that UNCG's internal audit activities during this time period were in line with the UNC System internal audit activities and included charter updates,

strategic plan development, an ongoing purchasing card audit, and an upcoming emergency communication and alert system audit.

Skinner also reviewed highlights of the UNCG Internal Audit Department's five-year Strategic Plan for January 2024 through December 2029, including the plan's strategic objectives and requirements per internal audit Standards.

CARL-2 Campus Safety Presentation

Chief of Police Chris Jasso gave a presentation on risk and compliance topics related to campus safety, beginning with an update on ZeroEyes, which is the University's weapons detection platform that was implemented approximately a year ago. The system has successfully used existing campus security cameras to detect when a firearm has been drawn and has decreased the amount of time it takes to receive notification of a potential incident and dispatch officers to the scene. All the detections to date have been "non-lethal," meaning they were not real firearms, or they were law enforcement officers responding to burglar alarms or participating in training exercises.

Jasso also reviewed the periodic inspections, inventories, and audits that are conducted to ensure that the UNCG Police Department's evidence and property control system is in good order, as required by accreditation standards of the Commission on Accreditation for Law Enforcement Agencies (CALEA).

Lastly, Jasso reviewed the procedures for compliance with information requests from state and federal agents. He noted that these established procedures have been in place for some time and are followed not only for state and federal agents, but for local law enforcement agents as well.

CARL-3 Information Technology Services Update

Vice Chancellor Donna Heath introduced the University's new Chief Information Security Officer, Damal Raval, who joined the ITS department last month.

Associate Vice Chancellor Casey Forrest updated the Committee on Information Technology Services projects. He provided an update on phishing and malware activity and network intrusion attempts. Forrest also reported, among other topics, that:

- A second simulated phishing campaign ITS conducted for faculty and staff in the first quarter of 2025 resulted in approximately 10% of participants "taking the bait." Participants who failed to recognize the phishing attempt are receiving remedial training.
- ITS has received the final report from an external vendor that conducted a Microsoft Copilot readiness assessment in February 2025, at the request of ITS. The assessment findings were minimal and included only 1 critical finding. The readiness assessment and final report provide guidance on best practices for security-related features and functions that will help protect the University's infrastructure and systems from risks related to AI.

CARL-4 Federal, State, and UNC System Compliance Update

Jerry Blakemore, Vice Chancellor for Institutional Integrity and General Counsel, Kristen Bonatz, Deputy General Counsel, and Enyonam Williams, Associate General Counsel, provided an update on the significant legal, regulatory, and programmatic impact of recent federal, state, and UNC System compliance initiatives.

Blakemore provided an overview of recent Presidential Executive Orders impacting higher education, noting in particular the Executive Orders directing the elimination of diversity, equity, and inclusion, or “DEI” programs, throughout the federal government and in federal contracting.

Bonatz then discussed the guidance provided by the U.S. Department of Education Office for Civil Rights regarding its interpretation of the broad application of the U.S. Supreme Court’s decision prohibiting the use of race as a factor in admissions to all aspects of campus life. She also reviewed UNC System Office guidance regarding “institutional neutrality” and the suspension of mandatory DEI-related course requirements. UNCG is currently compliant with all Executive Orders and related guidance, and efforts are ongoing to review and monitor its programs, policies, and practices to ensure continuing compliance.

Williams concluded the presentation by reporting that the University’s Compliance Committee met recently and its members across campus are actively monitoring, tracking, and analyzing compliance with changes at the federal and state level. She also noted her recent attendance at a UNC System Office meeting regarding the Protection of Minors on Campus, and the Office of Institutional Integrity and General Counsel will continue to monitor camps and youth programs for compliance. UNCG expects to host more than 10,000 youth in various camps this summer.

With no further business before the Committee, the meeting was adjourned at 11:25 a.m.

Respectfully submitted,

Kelly Harris
Assistant Secretary to the Board of Trustees