UNC GREENSBORO
Board *of* Trustees

Compliance, Audit, Risk Management and Legal Affairs (CARL) Committee
September 22, 2026
10:15 a.m.

DRAFT MINUTES

COMMITTEE MEMBERS PRESENT: Anita Bachmann (Chair), Margaret Benjamin, George Hoyle, Dale Phipps, Matthew Rankin

COMMITTEE MEMBERS ABSENT: None

OTHERS PRESENT: Chancellor Franklin Gilliam; Jerry Blakemore, Vice Chancellor for Institutional Integrity and General Counsel; Donna Heath, Vice Chancellor for Information Technology Services; Casey Forrest, Associate Vice Chancellor for Enterprise Infrastructure and Chief Technology Officer; John Loonan, Vice Chancellor for Finance and Administration; Katherine Skinner, Director of Internal Audit; Waiyi Tse, Chief of Staff; Kelly Harris, Associate Chief of Staff and Assistant Secretary to the Board of Trustees; and other members of the administration and staff.

PROCEEDINGS

Chair Bachmann called the meeting to order at 10:15 a.m. She reminded the Committee members of their duty under the State Government Ethics Act to avoid conflicts of interest and advised that any conflict of interest or appearance thereof with respect to any matter before the Board of Trustees or the Committee needed to be raised at that time. None were identified.

Quorum was confirmed through roll call.

Action Item

CARL-1 Consent Agenda

Chair Bachmann noted the items on the Committee's consent agenda, which were: (1) the minutes of the Committee's March 17, 2026, meeting; (2) the 2026-2027 CARL Committee Work Plan; and (3) the 2026-2028 Internal Audit Work, Resource, and Budget Plan. Materials for each of the consent agenda items were included in the Committee's pre-meeting materials.

There being no requests to remove any of the items from the consent agenda to the regular agenda, Chair Bachmann invited a motion to approve the items on the consent agenda. Trustee Phipps made the motion, which was seconded by Trustee Hoyle. The motion carried unanimously.

Discussion Items

CARL-2 Internal Audit Report

Director of Internal Audit Katherine Skinner presented the Internal Audit report. She provided an overview of Internal Audit initiatives, including completed audit work, ongoing projects and follow-up efforts, and the office's progress against its audit plan.

Skinner reported that Internal Audit recently completed three annual audits that are mandated by the UNC System and found that all applicable requirements have been met. The first audit was the annual Purchasing Card Audit, which found that UNCG's policies comply with UNC System requirements and that the transactions tested complied with those policies. The second audit was the Emergency Communication and Alert System Audit, which also found that UNCG's policies and procedures comply with UNC System requirements. The third audit was the Weatherspoon Art Museum Annual Inventory Audit, which concluded that inventory records are reliable and support the reported value of the collection.

Internal Audit has also followed up on North Carolina State Auditor Single Audit findings and verified that progress has been made toward remediation, with additional follow-up work still in progress.

Lastly, Skinner reported that the office continues to make solid progress against the audit plan and has achieved a self-assessment score reflecting a strong and effective audit function.

CARL-3 Information Technology Services Update

Vice Chancellor Donna Heath and Associate Vice Chancellor Casey Forrest provided an Information Technology Services update.

Forrest provided an overview of current cyber security activity, ongoing risk reduction efforts, and the work being done to support the responsible use of artificial intelligence. He reported that ITS is successfully blocking a high volume of attempted network intrusions before they can reach UNC Greensboro's systems. To combat phishing, which remains the most common type of blocked inbound email threat, ITS is continuing to conduct periodic simulated phishing campaigns. Forrest reviewed the results of the most recent campaign, including employee response rates and completion of remedial training.

Forrest also reported that the North Carolina National Guard Cyber Security Response Force recently conducted a cyber security assessment of UNC Greensboro's systems, as part of an ongoing partnership with the National Guard. Forrest advised that the findings have already been remediated or have active remediation plans in place.

Next, Forrest reviewed highlights of ITS security priorities and ongoing review work planned through the end of the year, including annual cyber security awareness training during October, which is cyber security awareness month.

Forrest concluded his report by discussing UNC Greensboro's 12-month AI Pilot Program, which provides secure, supported access to AI tools for evaluation. He also highlighted "Spartan AI," UNC Greensboro's university-supported generative AI workspace that gives faculty, staff, and students secure access to multiple leading AI models in one place, supporting exploration, appropriate use, and informed decisions about future AI services.

Information Item

CARL-4 Summary of 2025-2026 Audit Activities vs. Work Plan

The Committee was provided with an informational item summarizing audit activities from the last fiscal year compared to the approved work plan.

With no further business before the Committee, the meeting was adjourned at 11:10 a.m.

Respectfully submitted,

Kelly Harris
Assistant Secretary to the Board of Trustees